

# 3ROLF\ 'HYHORSPHQW 3URFHVV

,GHQWL\

5HVSQRVLEOH 2IILFH LGHQWLILHV  
WKH QHGH IRU D SROLF\  
2:1(5 LQLWLDWHV WKH  
SROLF\ GHYHORSPHQW SURFHVV

'UDIW DQG

'HVLJQDWHG(5 V  
ZLWKLQ 5HVSQRVLEOH 2IILFH  
GUDIWV SROLF\ LQ WKH RIILFL  
SROLF\ WHPSODWH

,QWHUQDO

'HVLJQDWHG(5 V  
UHYLHZ HGLW UHYL  
DSSURYH WKH SROLF

6WDNHKROGHU 5HYLHZ

\*RYHUQDQFH \*URXSV

5HYLHZ DQG IHGDEFN DY QHGHG

(QGRUVHPH

'HVLJQDWHG(5  
9LFH &KDQFH  
5HVSQRVLEOH 2IILFH L  
DQG DSSURYDO

&DELQHW

)LQDO GUDIW SROLF\  
SUHVHQWHG WR  
&KDQFH &DELQHW IRU  
ILQDO UHYLHZ DQG DSSURYDO

3XEOLVK

)LQDO GUDIW SXEOLVKHG LQ  
3ROLF\ DQG RQ RQ LQ  
7RGG DQG RQ WKH &RPSOLDQFH  
ZHEVLWH 3ROLF\ SDJH  
5HVSQRVLEOH 2IILFH HGXFDWHV  
WUDLQV 7&8 FRPPXLW\

%RDUG RI 7UXVWHHV

)RU SROLFLHV WKDW QHGH  
DSSURYDO E\ WKH %RDUG RI  
7UXVWHHV



# 5ROHV ZLWKLQ 3ROLF\7HFK

'RFXPHQW 5ROH	'HVFULSWLRQ RI 5ROH ZLWKLQ 3RO	\$GGLWLRQDO ,QIRU
2:1(5	< &UHDWH WKH GRFXPHQW XVH RI SROLF\5WHPSODWH IRU SROH < \$VVLJQ :ULWHUV 5HYLHZHUV \$SSURYHU LP SOHPHQW DWRQ DQG < :ULWH WKH GRFXPHQW FRQWHQW RU DVVLJQ DQRWKHU XVHU W < 0DQDJH WKH GRFXPHQW WKURXJK WKH UHYLHZ DQG DSSURYDO < PDNLQJ UHYLVLRQV DV QHFHVVDU\ \$Q 2:1(5 FDQ DOVR GR WKH IROORZLQJ < 6XEPLW WKH GRFXPHQW GLUHFWO\ WR DSSURYDO LI QR UHTXLU < DUH DVVLJQH < 6HW WKH GRFXPHQW DV DSSURYH IHDWZLQJQH WKH < GRFXPHQW < ORGLI\ WKH DVVLJQH UHGHUV RI SXEOLVKHG GRFXPHQWV < \$UFKLYH WKH GRFXPHQW	
:5,7(5	< :ULWH RU FROODERUDWH LQ ZULWLQJ D GRFXPHQW FDQ LQYLW < ZULWHUV WR FROODERUDWH RQ D GRFXPHQW < \$ :5,7(5 FDQ HGLW DQ DVVLJQH GRFXPHQW IRU DV ORQJ DV LW < WKH 'UDIW VWDWXV < \$ :5,7(5 FDQQRW DFFHVV RU FKDQJH DQ RI WKH GRFXPHQW < SURSHUWLHV DVVLJQH GRFXPHQWV UROHV SHUPLVVLRQV HWF	
5(9,(:(5	< 5HYLHZ GRFXPHQWV FDQ KDYH PRUH WKD \$XVHOHPXVLEH IRU < VSHFLILF GRFXPHQW < \$ 5(9,(:(5 FDQ DFFHSW DQ DVVLJQH GRFXPHQW DV LV UHYLW < GHFOLQH LW < 7KRVH DVVLJQH WKH 5(9,(:(5 UROH DUH W\SLFDOO\ VXEMHFW I < H[SHUWV DQG PDQDJHU	
\$33529(5	< \$SSURYH GRFXPHQWV DW OHDVW RQH DSSURYHU PXVW EH DVV < HDFK GRFXPHQW < \$Q \$33529(5 FDQ DFFHSW DQ DVVLJQH GRFXPHQW DV LV UHYL < RU GHFOLQH LW < 7KRVH DVVLJQH WKH \$33529(5 UROH DUH W\SLFDOO\ WKRVH LQ < ZLWKOMRSO DSSURYLVKH XJWRULSW < WKH ILQDO GUDIW RI D GRFXPHQW	
5(\$'(5	< \$ 5(\$'(5 FDQ VHH DOO SXEOLVKHG GRFXPHQWV < WR \$OO 8VHUV	< 5HFWPZHQHGWRXVH < 5(\$'(56 E\ GHSDUWPHQW < MRE WLWOH